

Page 1 of

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/811,080

MERCHANT & GOULD PC

MINNEAPOLIS, MN 55402-0903

03/26/2004

Mary E. Gerritsen

11669.192USC1

CONFIRMATION NO. 8064 FORMALITIES LETTER

OC000000012920334

Date Mailed: 06/10/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/14/2004 MMEKONEN 00000111 132725 10811080

01 FC:1001 02 FC:1051

03 FC:1201

04 FC:1202

23552

P.O. BOX 2903

770,00 OP 130.00 OP FILED UNDER 37 CFR 1.53(b)

1610.00 DA 110,00 OP 540.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:



- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GERRITSEN ET AL.

Examiner:

UNKNOWN

501141 1

10/811,080

Group Art Unit:

1614

Filed:

MARCH 26, 2004

Docket:

11669.192USC1

Confirmation No.:

8064

Customer No.:

23552

Title:

NOVEL POLYPEPTIDES, THEIR NUCLEIC ACIDS, AND METHODS FOR THEIR USE

IN ANGIOGENESIS AND VASCULARIZATION

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 408488302 US

Date of Deposit: September 10, 2004

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop MISSING PARTS,

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jame: Sheryl A. Boerboom

Mail Stop MISSING PARTS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

23552

Sir:

We are transmitting herewith the attached:

- ☐ Transmittal Sheet in duplicate containing Certificate of Mailing
- Notice to File Missing Parts of NonProvisional Application Part 2
- Preliminary Amendment
- Request for Extension of Time for 1 month and fee of \$110.00
- Response to Notice to Comply and Certificate Regarding Sequence Listing, Communication Pursuant to 37 CFR 1.821(e) and paper copy of sequence listing
- Checks in the amount of \$770.00 for filing fee, \$110.00 for one-month extension of time fee and \$130.00 for missing parts surcharge. Please charge our Deposit Account No. 13-2725 in the amount of \$2,260.00 for additional claim fees.
- Return postcard

CLAIMS AS AMENDED

Claims Remaining After Amendment		Highest Number Previously Paid For		Present Extra		Rate		Fee
Total Claims		1 ieviousiy 1 aid 1 oi	+-		 	<u> </u>		
50	-	20	=	30	х	\$18.00	=	\$540.00
Independent Claims								
23	-	3	=	20	х	\$86.00	=	\$1,720.00
MULTIPLE DEPENDENT CLAIM FEE								\$0.00
TOTAL FILING FEE								\$2,260.00

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C. P.O. Box 2903, Minneapolis, MN 55402-0903 612.332.5300 By: Mowalchyk
Name: Katherine M. Kowalchyk

Reg. No.: 36,848 KKowalchyk:sab S/N 10/811,080

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

GERRITSEN ET AL.

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UNKNOWN

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Name: Shery A. Boerboom

RESPONSE TO NOTICE TO COMPLY AND CERTIFICATE REGARDING SEQUENCE LISTING

Mail Stop Sequence Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This paper is being filed in response to the Examiner's communication mailed June 10, 2004. In response to the Notice to Comply and in accordance with the requirements of 37 C.F.R. § 1.821 - § 1.825, Applicants enclose herewith a communication pursuant to 37 CFR § 1.821(e) to request to use a Sequence Listing submitted in a previously filed application.

In accordance with MPEP §2422.05, a paper copy of the Sequence Listing is included with this communication. Please insert the paper copy into the file after the Executed Oath or Declaration, as required by 37 C.F.R. § 1.77(a)(15). Applicants hereby state that the computer readable form in application Serial No. 09/684,458 and the paper copy of the Sequence Listing enclosed do not include new matter.

Pursuant to the Examiner's request, also enclosed is a copy of the Notice to Comply with the sequence listing rules. Accordingly, it is believed the application complies with the sequence listing requirements.

Respectfully submitted,

MERCHANT & GOULD P.C. P.O. Box 2903 Minneapolis, Minnesota 55402-0903 (612) 332-5300

Date: Systember 10, 2004

Katherine M. Kowalchyk

Reg. No. 36,848

KMK:sab

23552

PATENT TRADEMARK OFFICE